

# The role of bacterial dormancy in their persistence and survival in the food chain

### Patrick Mikuni-Mester

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## **Talk outline**

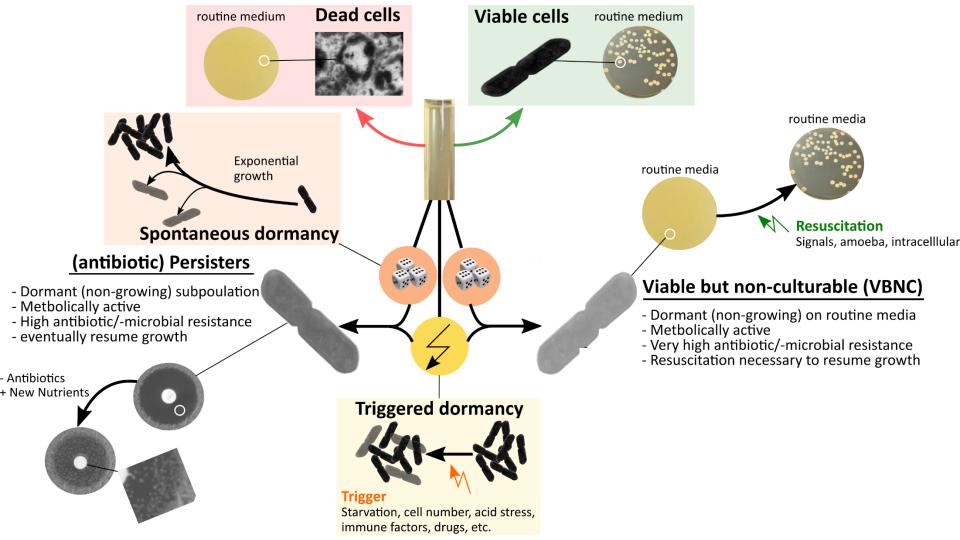
### Bacterial dormancy

- Explore different types of dormancy
- Possible connections and challenges
- Dormancy and Food production
  - What do we know
  - What does it mean (for food safety)
- Where should go next



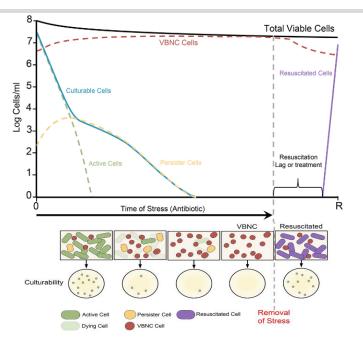
The power of doing nothing





## **Definitions**

- Proliferating
  - Proliferating (culturable) cells
- Stressed/injured
  - Sublethal (reparable) injury in a proportion of the population
  - Not/less culturable on selective media
- Persistent
  - Dormant (non-growing) subset present in the population
  - Culturable on routine media
- Viable but non-culturable (VBNC)
  - Dormant (non-growing) subset present in the population
  - Non-Culturable on routine media
- Dead
  - Metabolically inactive or disintegrated cells

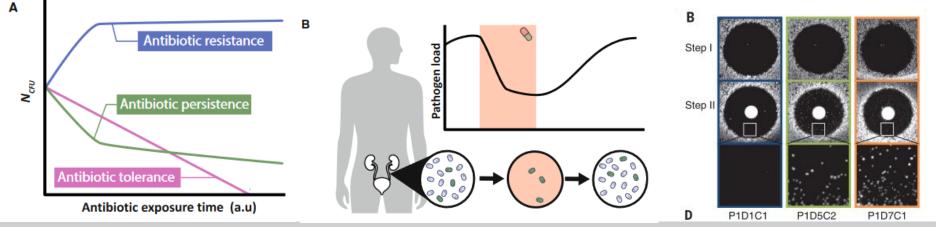


### Ayrapetyan et al. (2018) doi: 10.1128/JB.00249-18.





- Antibiotic persistence is a survival strategy where only a small subpopulation is highly tolerant to the antibiotic.
- This results in characteristic biphasic killing, where the majority of sensitive cells are rapidly killed and the subpopulation of persister cells survives.



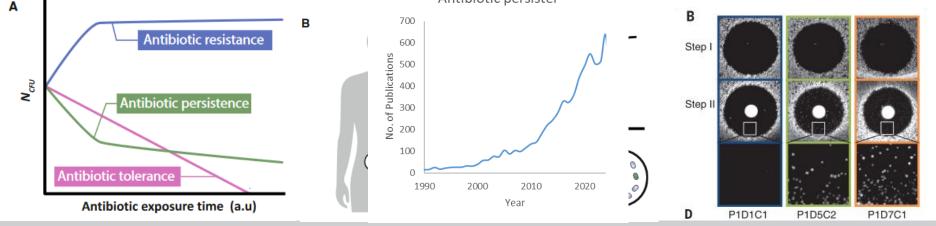
Bollen et. al EMBO reports 24: e57309 | 202 DOI 10.15252/embr.202357309

Liu et al., Science 367, 200–204

Staples et al.; Salmonella persisters undermine host immune defenses during antibiotic treatment; Science 362, 1156–1160

vetmeduni vienna

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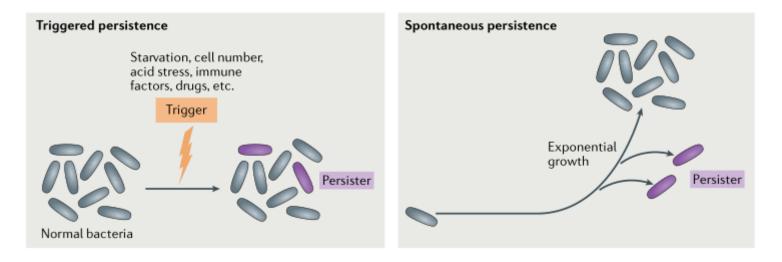
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### Induction of persistence



Balaban et al.; Definitions and guidelines for research on antibiotic persistence; Nat Rev Microbiol 17, 441–448 (2019). https://doi.org/10.1038/s41579-019-0196-3

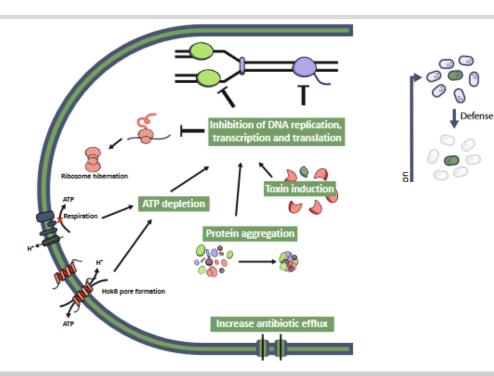


- Passive defense of persister cells against antibiotic
- → Entering a dormant state

- Active defense of persister cells against antibiotics
  - increasing efflux activity
  - preventing prodrug activation
  - activating stress responses

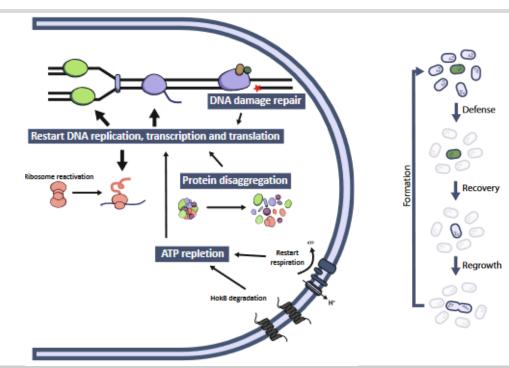


- Passive defense of persister cells against antibiotic
- ➔ Entering a dormant state
  - ATP depletion
  - Inhibition of DNA replication
  - Toxin induction
  - Protein aggregation

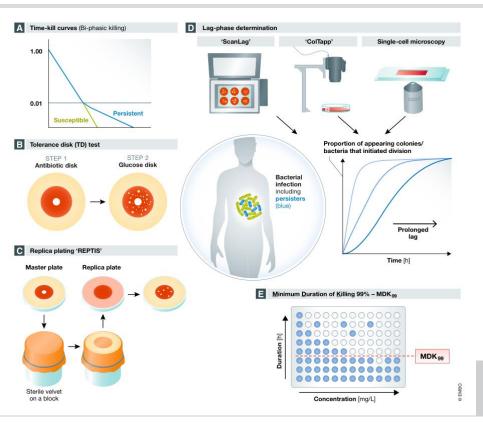




- Passive defense of persister cells against antibiotic
- → Leaving a dormant state
  - fresh nutrients
  - quorum sensing signals from growing cells
  - removal of certain host immune factors



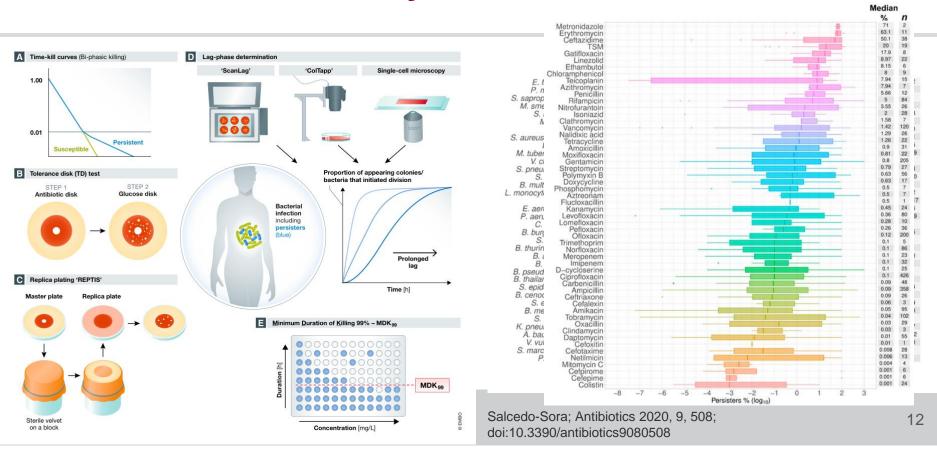




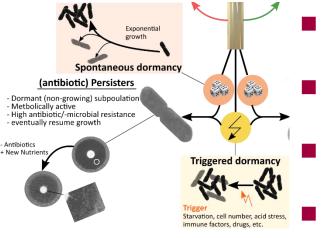
- Quantify and study a small tolerant subpopulation of dormant cells within a culturable population
- Kill the susceptible part of population and study the survivors

Salcedo-Sora; Antibiotics 2020, 9, 508; doi:10.3390/antibiotics9080508









Antibiotic persistence is **a universal survival strategy** where only a small subpopulation is highly tolerant The passive defense of persisters is reliant on cells entering a **dormant state** 

Cells leave the dormant state by **replenishing** their energy level and **reactivating** crucial cellular pathways It's an **umbrella term** describing different physiological states

There is an existing consensus paper clearly defining persistence
 On this basis methods / approaches can be evaluated and tested

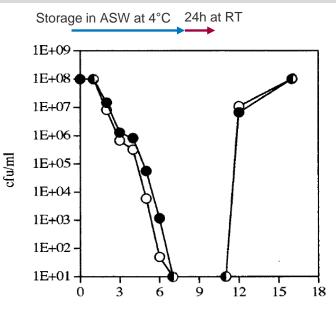




Vibrio Vulnificus

- Pathogenic bacteria present in marine environments
- inability to culture V. vulnificus during winter or cold months
- Storage in artificial sea water (ASW) at 4°C induces entry into the VBNC state
- Stable (and quantitative) resuscitation from the VBNC state by storage at RT for one day before plating
- Model system for VBNC

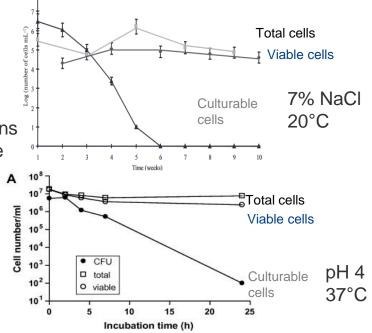
A bacterial cell in the VBNC state may be defined as one which fails to grow at the routine bacteriological cultivation conditions under which it would normally grow, but which is in fact alive and has still metabolic activity.



Time (days) Oliver et al. 1995; doi: 10.1111/j.1574-6968.1995.tb07885.x.



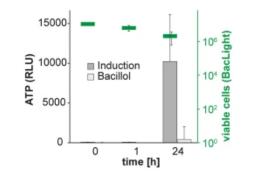
- Subset of any bacterial population is in the VBNC state
- Entry into VBNC state is a stress response
  - Slow induction (days to years)
    - Response to unfavorable environmental conditions
    - Shifts of temperature, osmotic pressure, a<sub>w</sub> value or starvation, pH, sunlight

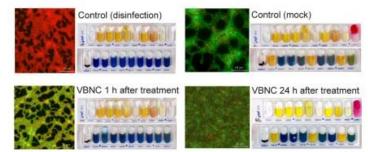


Besnard 2002; DOI: 10.1051/vetres:2002022 Cunningham et al. 2009; doi:10.1016/j.foodcont.2009.03.005



- Subset of any bacterial population is in the VBNC state
- Entry into VBNC state is a stress response
  - Slow induction (days to years)
    - Response to unfavorable environmental conditions
    - Shifts of temperature, osmotic pressure, a<sub>w</sub> value or starvation, pH, sunlight
  - Fast induction (<60 min)</p>
    - Response to potentially lethal stress
    - Heat shock, UV, biocides, chlorine, PAA, oxidizing agents, heavy metals etc.
    - Benzalkonium chloride; non-ionic detergents and salts





## **Defining bacterial viability**



### **Viability Parameter**

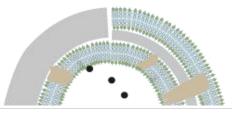
**Cell proliferation** 

### **Cell integrity**

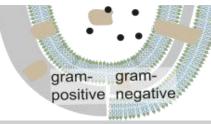
Prevent loss of cellul content (e.g. genetic material; ribosomes ε

### Active metabolism

Retain the ability for resuscitation



To be considered alive, a cell "must be *intact*, *capable of reproduction*, and *metabolically active*"



Quantify and study a **small tolerant subpopulation** of dormant cells within a population containing **culturable and dead cells** 

 Differentiate viable from dead cells

#### and what is actually measured Resuscitation

How to measure VBNC

VBNC

Proliferation

Ribosomes

### Cell proliferation

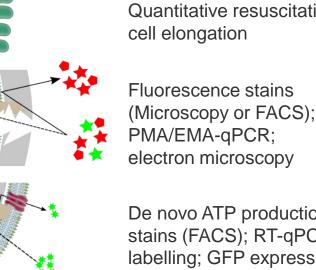
Cell growth; e.g. Colony forming units

Viability Parameter

Cell membrane integrity Exclusion of molecules from intact membranes

### Active metabolism

Production of cellular energy; Efflux pump activity; Transcription; Translation; Enzyme activity



### How do we measure?

Quantitative resuscitation:

(Microscopy or FACS);

De novo ATP production; fluorescence stains (FACS); RT-qPCR; protein labelling; GFP expression; fluorescence labelled substrates

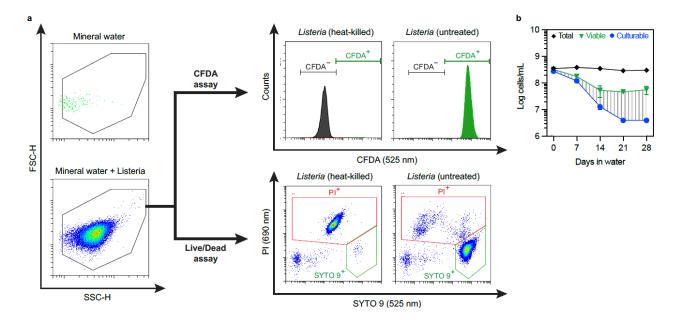


## How to measure VBNC

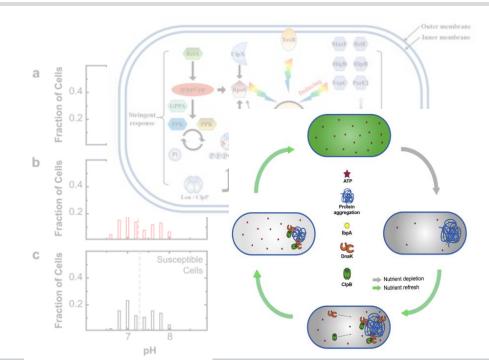


It is crucial to use at least two different viability parameters for VBNC detection /quantification

There is no official consensus



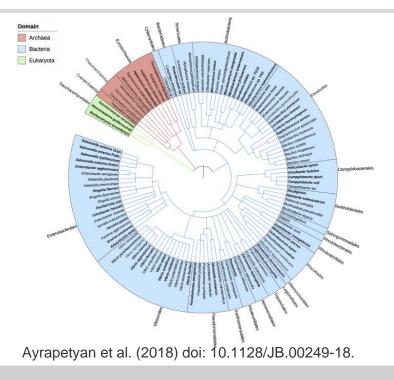
- Stochastic differences in intracellular pH
  - ATP depletion
- Inhibition of DNA replication
- Toxin induction
- Protein aggregation
- Reduction of Ribosomes



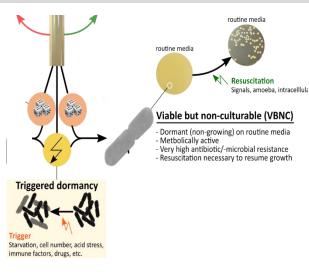




- Currently described for more than 100 different bacterial species, including 67 pathogenic bacteria
  - Campylobacter spp., Escherichia coli, Francisella tularensis, Helicobacter pylori, Legionella pneumophila, Listeria monocytogenes, M. tuberculosis, Pseudomonas aeruginosa, Salmonella spp., Shigella spp., Vibrio spp.







- The VBNC state is a universal survival strategy for potentially the whole population
  - The passive defense of VBNCs is reliant on cells entering a **dormant state**
- An external signal / trigger is necessary to leave the dormant state
- It's an umbrella term describing different physiological states
- → There is **no** consensus paper clearly defining VBNC
- No consensus on how methods can be evaluated and tested

## VS





Antibiotic persistence is a universal survival strategy where only a small subpopulation is highly tolerant

Persister

- The passive defense of persisters is reliant on cells entering a dormant state
- Cells by replenishing their energy level and reactivating cellular pathways
- It's an umbrella term describing different physiological states
- Definitions and guidelines exist

- The VBNC state is a universal survival strategy for potentially the whole population
- The passive defense of VBNCs is reliant on cells entering a dormant state
- An external signal / Trigger is necessary to leave the dormant state
- It's an umbrella term describing different physiological states
- No Definitions and guidelines exist

### **Persister vs VBNC**



#### ENVIRONMENTAL MICROBIOLOGY



**Research article** 

### Viable but non-culturable and persistence describe the same bacterial stress state

Jun-Seob Kim, Nityananda Chowdhury, Ryota Yamasaki, Thomas K. Wood 💌

First published: 19 February 2018 | https://doi.org/10.1111/1462-2920.14075 | Citations: 152

**ENVIRONMENTAL MICROBIOLOGY** 



Opinion

#### 'Viable but non-culturable cells' are dead

#### Sooyeon Song, Thomas K. Wood 🔀

First published: 15 March 2021 | https://doi.org/10.1111/1462-2920.15463 | Citations: 36

### ENVIRONMENTAL MICROBIOLOGY REPORTS

Correspondence

### How dead is dead? Viable but non-culturable versus persister cells

Alexander K. T. Kirschner 🗙 Julia Vierheilig, Hans-Curt Flemming, Jost Wingender, Andreas H. Farnleitner First published: 26 April 2021 | https://doi.org/10.1111/1758-2229.12949 | Citations: 6



#### Correspondence

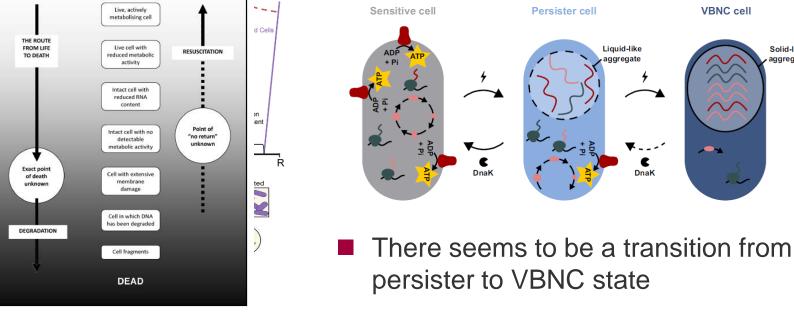
### Waiting for Godot: response to 'How dead is dead? Viable but non-culturable versus persister cells'

#### Sooyeon Song, Thomas K. Wood 🔀

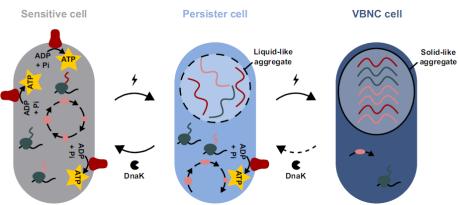
First published: 21 April 2021 | https://doi.org/10.1111/1758-2229.12951 | Citations: 2

### Ayrapetyan et al. (2018) doi: 10.1128/JB.00249-18. Davey 2011; doi:10.1128/AEM.00744-11

#### Bollen et al. (2025) Nature Communications, https://doi.org/10.1038/s41467-025-56387-8.



Cells



## **Persister vs VBNC**

ALIVE

8-

6

5 Log Cells/ml

4

3.

2.

1\_

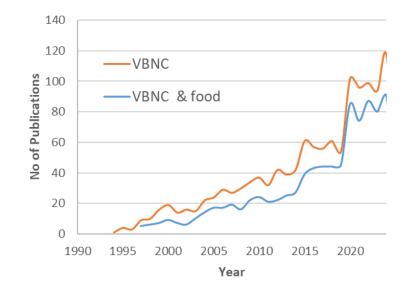
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## **Dormancy in the food sector**





#### FOOD TECHNOLOGY MAGAZINE | ARTICLE

Treatment with disinfects-

increase in viable

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### Viable but Nonculturable **Bacteria Can Threaten Food Safety**

FOOD SAFETY AND QUALITY and Mussels Jorge García-Hernández <sup>1,</sup>\*©, Manuel Hernández <sup>1</sup> and Yolanda Moreno <sup>2</sup> Underestimated Highlighted by Viability Real-Time PCR and Growth Check for Updates ....vival of Campylobacter in Raw Milk Imke F. Wulsten<sup>1</sup>, Marke Galeev<sup>2</sup> and Kerstin Stingli<sup>2</sup> <sup>1</sup>National Reference Laboratory for Campylobacter, Department of Biological Safety, German Federal Institute for Risk Assessment (BfR), Berlin, Germany

## **VBNC** in the food sector



**Temperature shifts** 

pH and Aw shifts

Use of disinfectants and cleaning agents



Constant entry of microorganisms

### Nutrient rich environments

Nutrient depletion

### Predominantly growth-based methods for risk assessment

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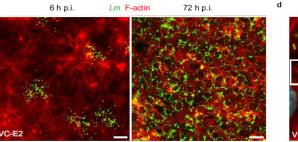
- Retaining metabolic activity
  - Fermentation problems (e.g. juices, w
  - Toxin production

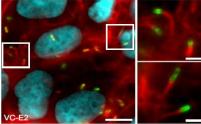
### Virulence

- Infectivity of VBNC cells
- Multiplication within host cells (or host) without regaining culturability

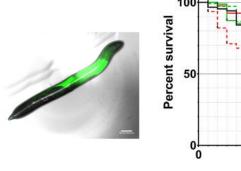
### Tolerance

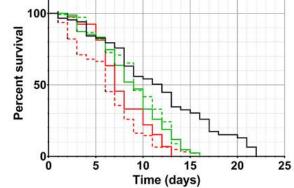
- Resistant to certain antibiotics
- Increased tolerance against certain biocides
- Resuscitation
  - Reoccurrence through regrowth





DNA Lm F-actin





Carvalho et al. 2024; Nature Communications; doi:10.1038/s41467-024-52633-7 Higmore et al. 2018; mBio; doi:10.1128/mBio.00540-18



Retaining metabolic activity

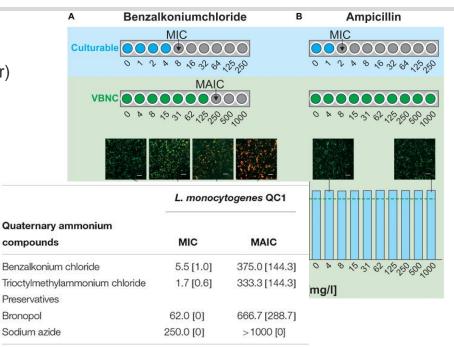
- Fermentation problems (e.g. juices, wine or beer)
- Toxin production

### Virulence

- Infectivity of VBNC cells
- Multiplication within host cells (or host) without regaining culturability

### Tolerance

- Resistant to certain antibiotics
- Increased tolerance against certain biocides
- Resuscitation
  - Reoccurrence through regrowth



Mean MIC/MAIC values (mg/l) of QACs and preservatives after 24 h inc

Mineral water

Vegetative Lm

VBNC Lm

Culturability before egg passage \*

°Comparison of culturability before and after passage in embryonated eggs (two-sided Fisher's exact test) <sup>d</sup>Comparison of culturability before and after passage in non-embryonated eggs (two-sided Fisher's exact test)

0/3 (0%)

8/84 (9.52%)

\*Number of BHI wells with bacterial growth/Number of BHI wells inoculated. <sup>b</sup>Number of eggs with bacterial growth/Number of eggs inoculated.

3/3 (100%)



p-value <sup>6</sup>

>0.999 0.344

>0.999

31

Non-embryonated eggs

0/10 (0%)

0/18 (0%)

2/2 (100%)

Table 1 | VBNC Lm revert back to a culturable state after passage in embryonated chicken eggs Retaining metabolic acti

- Fermentation problems (e.c.
- Toxin production

### Virulence

- Infectivity of VBNC cells
- Multiplication within host cells (or host) without regaining culturability

### Tolerance

- Resistant to certain antibiotics
- Increased tolerance against certain biocides

### Resuscitation

Reoccurrence through regrowth

		Inoculation in embryonated eggs		Inoculation in non
		Vitellus fluid <sup>b</sup>	Vitellus fluid and embryo <sup>c</sup>	embryonated eggs
ATCC 19115	2	0/4	2/4	0/4
	6	0/4	2/4	1/4
LO 28	2	0/4	2/4	0/4
	6	0/4	2/4	0/4
Scott A	2	2/4	2/4	0/4
	6	4/4	4/4	0/4
CNL 895807	2	0/4	2/4	0/4
	6	0/4	2/4	0/4
Total		6/32	18/32	1/32
Negative control <sup>d</sup>	2	0/2	0/2	0/2
	6	0/2	0/2	0/2

p-value °

1.63×10<sup>-17</sup>

>0.999

>0.999

Culturability after egg passage b

Embryonated eggs

0/10 (0%)

24/24 (100%)

8/8 (100%)

Carvalho et al. 2024; Nature Communications; doi:10.1038/s41467-024-52633-7 Cappelier et al. 2006:DOI: 10.1051/vetres:2007017

## What is the risk of VBNCs



### Retaining metabolic activity

- Fermentation problems (e.g. juices, wine or increase in viable of Liston in Viable of the viscon viable of the viscon viable of the viscon viscon viable of the viscon vof Listeria n
- Toxin production

### Virulence

- Infectivity of VBNC cells
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- Resistant to certain antibiotics
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  - Reoccurrence through regrowth

#### FOOD TECHNOLOGY MAGAZINE | ARTICLE

Treatment with disinfecta-

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### Viable but Nonculturable **Bacteria Can Threaten Food Safety**

FOOD SAFETY AND QUALITY

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## **Problematic umbrella terms**



How do bacterial cells regulate the VBNC state?

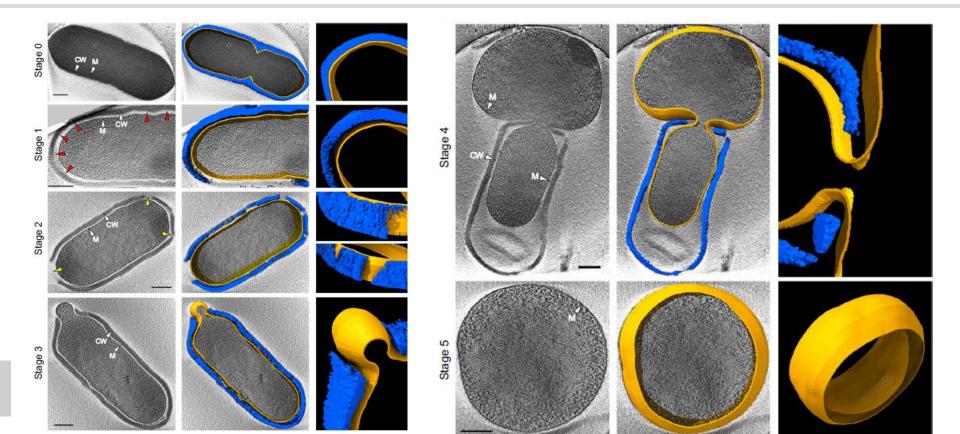
Dormancy / Tolerance / Metabolic activity / Virulence

How comparable are results between species?

- Is there only one VBNC state?
  - Dependent on the induction conditions?
  - Different subpopulations?

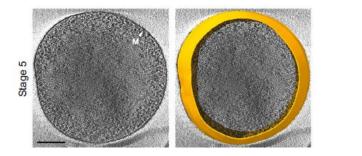
### **Effect of induction conditions**

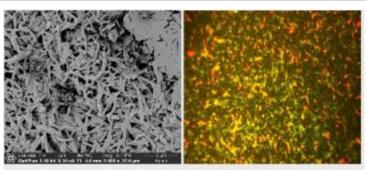




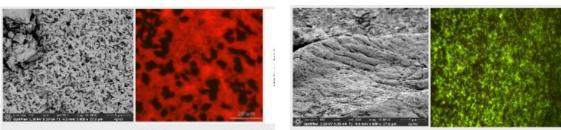
## **Effect of induction conditions**







### **VBNCs directly after induction**



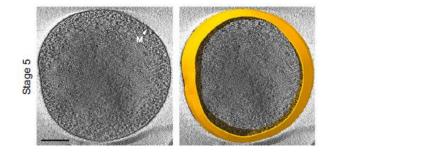
Heat inactivated VBNCs stored in BHI

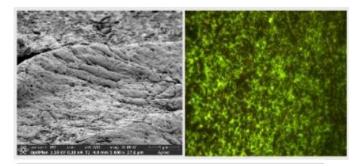
VBNCs stored in BHI

Carvalho et al. 2024; Nature Communications; doi:10.1038/s41467-024-52633-7 Mikuni-Mester unpublished

### **Effect of induction conditions**







#### L. monocytogenes QC1

#### Quaternary ammonium

compounds	MIC	MAIC
Benzalkonium chloride	5.5 [1.0]	375.0 [144.3]
Trioctylmethylammonium chloride	1.7 [0.6]	333.3 [144.3]
Preservatives		
Bronopol	62.0 [0]	666.7 [288.7]
Sodium azide	250.0 [0]	>1000 [0]

Mean MIC/MAIC values (mg/l) of QACs and preservatives after 24 h inc

## **Open questions and few answers**



- How do bacterial cells regulate the VBNC state?
- How comparable are results between species?
- Is there only one VBNC state?
- Lacking validation of analytical tools to detect and quantify VBNCs
  - Viability PCR is most widespread but not without pitfalls

Propidium Monoazide is Unreliable for Quantitative Live–Dead Molecular Assays

Simerdeep Kaur, Laura Bran, Grigorii Rudakov, Jiangshan Wang, and Mohit S. Verma\*





## **Open questions and few answers**



- How do bacterial cells regulate the VBNC state?
- How comparable are results between species?
- Is there only one VBNC state?
- Lacking validation of analytical tools to detect and quantify VBNCs
  Viability PCR is mots widespread but not without pitfalls
  How does the Food production environment influence VBNC?
  Which process steps or conditions induce the VBNC state
  How can we effectively reduce persistent cells in the VBNC state?





- Pathogenic bacteria can enter dormancy states either spontaneously or as a response to stress in which there are viable but non-culturable under routine conditions
- Cells in the VBNC state can be highly resistant against certain antibiotics, antimicrobials or physical stress which allow them to persist in food production environments
- Cells in the VBNC state can be detected
- However.....

#### By Created by Uwe Kils (iceberg) and User: Wiska Bodo (sky). - (Work by Uwe Kils) http://www.ecoscope.com/iceberg/, CC BY-SA 3.0,

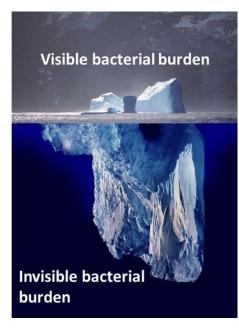
### 40

## **Control of VBNC bacteria**



- Tolerance differs between species and induction
- Research is focused on studying tolerance/resistance and not trying to identify effective measures
- Problematic lack of standards
- Research is often more Problem instead of Solution orientated

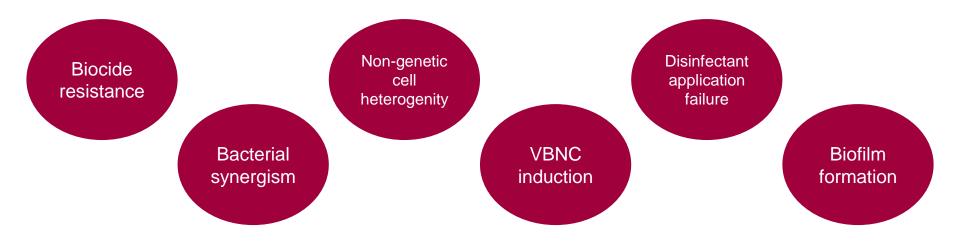
## Bacteria in the VBNC state are **NOT** an emerging or new threat







### Persistence of bacteria in food production Environments







- Pathogenic bacteria can enter dormancy states in which they are highly resistant
- In the VBNC state cells are not-detectable to growth-based methods allowing them to persist in food production environments
- By combining the appropriate and validated methods the resistance of cells in the VBNC state can be analyzed and effective interventions identified
- We need to identify and validate meaningful detection methods that are economically feasible complementing existing technologies

### **Take Home Message**



The realization of widespread bacterial dormancy (VBNC, Persister etc.) together with the development of detection methods and effective intervention measures will help us to reduce **already existing** problems